

JSE Investor Services CSDP (Pty) Limited Reg. No. 2011/001308/07 An Authorised Financial Services Provider – FSP No: 44210 One Exchange Square, Gwen Lane, Sandown, Sandton 2196 P.O. Box 4844, Johannesburg, 2000, South Africa Telephone 0861 546572 / +27 11 713 0800 csdp@jseinvestorservices.co.za | jseinvestorservices.co.za

FATCA / CRS - ENTITY TAX RESIDENCY SELF-CERTIFICATION FORM

		Part 1 - Identification of Account Holder
A.	Legal	Name of Entity/Branch:
В.	Coun	try of incorporation or organisation:
C.	Curre	ent Residential Address:
		Part 2 - Entity Type (Please provide the Account Holder's Status by ticking one of the following boxes)
1.		 Financial Institution – Investment Entity An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Note: if ticking this box please also complete Part 2(2) below) Other Investment Entity Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes. Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company
	(2)	Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.
	c)	Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded: If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:
	(d) (e) (f) (g)	Active NFE – a Government Entity or Central Bank Active NFE – an International Organisation Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE) Passive NFE (Note: if ticking this box please also complete Part 2(2) below)
2.	If yo a. b.	ou have ticked 1(a)(i) or 1(g) above, then please: Indicate the name of any Controlling Person(s) of the Account Holder: Complete "Controlling Person tax residency self-certification form" for each Controlling Person.

Part 3 - Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent* ("TIN") (see Appendix)

Please complete the following table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder's TIN for each country/Reportable Jurisdiction indicated. Countries/Jurisdictions adopting the wider approach may require that the self-certification include a tax identifying number for each jurisdiction of residence (rather than for each Reportable Jurisdiction). If the Account Holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or jurisdiction in which its principal office is located.

If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate sheet If a TIN is unavailable please provide the appropriate reason **A**, **B** or **C** where **appropriate**:

- Reason A The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents
- **Reason B -** The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)
- **Reason C -** No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

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Country/Jurisdiction of tax residence		TIN	If no TIN available enter Reason A, B or C			
1						
2						
3						
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.						
1						
2						
3						

Part 4 - Declaration and Signature

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with JSE Investor Services CSDP Pty Limited setting out how JSE Investor Services CSDP may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.				
I undertake to advise JSE Investor Services CSDP within 30 days of any change in circumstances which affects the taresidency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part 2 question 2a and to provide JSE Investor Services CSDP with a suitably updated self-certification and Declaration within 30 days of succhange in circumstances.				
Signature: Print Name:				
Date: (dd/mm/yyyy)				
Note: Please indicate the capacity in which you are signing the form (E.G. 'Authorised Officer') If signing under a power of attorney please also attach a certified copy of the power of attorney.				